



信貸評估申請表

CREDIT ASSESSMENT APPLICATION FORM

致：中國天元證券有限公司

To: China Tian Yuan Securities Limited

致：中國天元商品及期貨有限公司

To: China Tian Yuan Commodities and Futures Limited

客戶名稱 Account Name _____

客戶號碼 Account Number _____

關連賬戶 (如有) Related Account (If applicable)

親屬 Relatives

客戶號碼 Account Number _____

公司 Corporate

客戶號碼 Account Number _____

賬戶總值 Account Total Balance

現金額

Cash Balance _____

證券按倉值

Securities Margin Value _____

證券市值

Securities Market Value _____

借貸沽空市值

Loan Short-Sell Market Value _____

債券市值

Bonds Market Value _____

非上市基金市值

Non-listed Fund Market Value _____

其他 (請註明項目及金額)

Others (Please specify Items and Amount) _____

客戶背景及投資取向(詳細條款) Client Background and Investment Orientation (Detailed Terms)

貸款金額

Loan Amount _____

目前投資組合市值

Current Portfolio MV _____

貸款期限

Loan Term _____

目前 Loan To Value(%)

Current Loan To Value (%) _____

年利率

Annual Interest Rate _____

要求 Loan To Value (%)

Request Loan To Value (%) _____

手續費

Handling Fee _____

審批權限

Approval Authority _____

投資目標 Investment Objective(s) :

穩健 Conservative

投機 Speculation

對沖 Hedging

投資 Investment (長 Long/ 中 Medium/ 短 Short)

備註 Remarks:



申請項目 Application Items			
申請事項 Application Items	批准額度 Approved Limit	申請額度 Applied Limit	原有額度 (如有) Original Limit
<input type="checkbox"/> 信用額 Credit Limit			
<input type="checkbox"/> 其他 (請註明) Others (Please specify)			

備註 Remarks:

- 1) 本人(在下面簽署申請經紀人/客戶) 在此承諾清楚信用限額之作用。
I (AE / Client) hereby certify that I clearly understand the use of credit limit.
- 2) 本人(在下面簽署申請經紀人/客戶) 在此承諾交收之金額在本人能力承受範圍之內, 並對此中國天元證券有限公司及 / 或中國天元商品及期貨有限公司承擔所有責任。
I (AE / Client) hereby certify that the amount of credit limit is affordable, and I hereby declare that China Tian Yuan Securities Limited and / or China Tian Yuan Commodities and Futures Limited shall be fully responsible for this.
- 3) 信用限額會定期審核, 若客戶不能表時履行交收責任, 信用限額將會被實時收回或調整。
Credit limit would be reviewed periodically. If client cannot fulfill the clearing responsibility, the credit limit would be reviewed or adjusted.
- 4) 中國天元證券有限公司及 / 或中國天元商品及期貨有限公司對信用限額享有最終解釋權及酌情權。
China Tian Yuan Securities Limited and / or China Tian Yuan Commodities and Futures Limited has / have the absolute final rights of explanation and discretion to credit limit.

申請經紀 / 客戶姓名

申請經紀/ 客戶簽署

Applying A.E. / Client Name _____ Applying A.E. / Client Signature _____

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風險評估及建議 Risk Control and Comment:

批核信貸額 Approved Credit Limit: _____

批核日期 Approved Date: _____

Received by Dealing Department	Approved by RO	Approved by RO	Received by Settlement Department
Name	Name	Name	
Date	Date	Date	